

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0048
1a- Total ordinary dividends (includes lines 1b, 5)	371,816.38	
1b- Qualified dividends	37,962.88	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	115,394.18	
2b- Unrecaptured Section 1250 gain	63.18	
2c- Section 1202 gain	0.00	
2d- Collectibles (28%) gain	0.00	
3- Nondividend distributions	1,364,921.98	
4- Federal income tax withheld	1,943.86	
5- Section 109A dividends	258,549.32	
6- Investment expenses	52.70	
8- Foreign country or US possession. See detail	31.08	
9- Cash liquidation distributions	0.00	
10- Noncash liquidation distributions	0.00	
11- Exempt-interest dividends (includes line 12)	1,032.48	
12- Specified private activity bond interest dividends (AMT)	44.81	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Miscellaneous Income	2020 1099-MISC*	OMB No. 1545-0047
3- Royalties	1,426.40	
3- Other income	1,933.97	
4- Federal income tax withheld	0.00	
6- Substitute payments in lieu of dividends or interest	970.50	

SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0049
8- Profit or (loss) realized in 2020 on closed contracts	20,756.00	
9- Unrealized profit or (loss) on open contracts-12/31/2019	-875.00	
10- Unrealized profit or (loss) on open contracts-12/31/2020	-121,375.00	
11- Aggregate profit or (loss) on contracts	-99,845.00	

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 1041 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or (loss)
Short	A (basis reported to the IRS)	88,275.90	234,128.95	0.00	184.00	-145,899.05
Short	B (basis not reported to the IRS)	143,485.83	0.00	0.00	0.00	143,485.83
Short	C (Form 1099-B not received)	7,964.00	8,000.00	0.00	0.00	-4.00
Total Short-term		239,755.73	242,128.95	0.00	184.00	-2,589.22
Long	D (basis reported to the IRS)	616,081.45	728,165.45	0.00	0.00	-112,084.00
Long	E (basis not reported to the IRS)	726,727.78	660,598.44	5,996.50	0.00	1,136.38
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
Total Long-term		1,342,809.23	1,388,763.89	5,996.50	0.00	-110,947.62
Undetermined	B or E (basis not reported to the IRS)	4,161.82	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
Total Undetermined term		4,161.82	0.00	0.00	0.00	0.00
Grand total		1,586,726.78	1,630,892.84	5,996.50	184.00	-153,136.64
Withholding		Amount				
Federal income tax withheld		0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

An amended tax statement will be sent by March 01, 2021 due to holdings of REMICs which require supplemental information.

Ubs Tax Guide

Kyungbae Chung, Neil Gilbert



Ubs Tax Guide:

The New Whistleblower's Handbook Stephen M. Kohn, 2017-07-01 An updated edition of the first ever consumer guide to whistleblowing by the nation's leading whistleblower attorney The newest edition of The Whistleblower's Handbook brings the most comprehensive and authoritative guide to exposing workplace wrongdoing up to date with new information on wildlife whistleblowing auto safety whistleblowing national security whistleblowing and ocean pollution whistleblowing It also includes a new Toolkit for international whistleblowers This essential guide explains nearly all federal and state laws regarding whistleblowing and in the step by step bulk of the book presents more than twenty must follow rules for whistleblowers from finding the best federal and state laws to the dangers of blindly trusting internal corporate hotlines to obtaining the proof you need to win the case

The Ultimate Guide to Trading ETFs Don Dion, Carolyn Dion, 2010-11-23 An essential guide to trading trends with ETFs At any given time a particular country's market or a particular segment of the market such as energy or technology might be booming The Ultimate Guide To Trading ETFs provides a time tested strategy for using exchange traded funds ETFs to profit from these trending markets and sectors By monitoring the performance of ETFs authors Don Dion and Carolyn Dion show how to capitalize on these fast moving ever changing trends He then discusses how to stay ahead of the curve by identifying markets and sectors that are gathering momentum and monitoring those markets for signs that the momentum is losing steam Dion also explains how you can build a balanced portfolio of ETFs and manage your allocations to profit from the shifting trends Provides advice for both aggressive investors who are willing to utilize leveraged and short market ETFs as well as more conservative investors who want to limit risk Highlights a wide variety of ETFs currently available to investors Shows how to profit from fast developing trends across all markets and sectors The world of ETFs has created many options for individual investors and The Ultimate Guide To Trading ETFs shows you how to make the most of those opportunities

The Corporate Whistleblower's Survival Guide Tom Devine, Tarek F. Maassarani, 2011-04-04 From Erin Brockovich to Enron whistleblowers who challenge abuses of power that betray the public trust have proven to be an unfortunate necessity in modern business culture Their efforts to report crimes fraud and dangers to public health and safety have saved millions of lives and billions of dollars of shareholder value and had we heeded the warnings of whistleblowers perhaps disasters such as the Bernie Madoff scandal and the Lehman Brothers meltdown could have been averted Recent federal legislation in finance and health reform have cemented legal protections and mechanisms for whistleblowing This book provides a thorough guide and history to the whistleblower's legal rights The ultimate survival guide it provides advice on getting help and finding allies warns that retaliation is often the reward for committing the truth and shows how to weather the storm With extensive legal texts sample letters resources and information on upcoming whistleblower reforms this is the ultimate source on the subject

Switzerland Tax Guide Volume 1 Strategic and Practical Information, Contacts IBP USA, United States Income and War Tax Guide Based on Revenue Act of 19 and

Regulations, Rulings and Decisions Up to 19 William Kix Miller, 1927

The Oxford Handbook of Organized Crime

Letizia Paoli, 2014-09-26 While the success of national and international law enforcement cooperation to suppress organized crime means that stable large scale criminal organizations like the Cosa Nostra or the Japanese Yakuza have seen their power reduced organized crime remains a concern for many governments Economic globalization and the easing of restrictions on exchanges across borders now provide ample opportunity for money making activities in illegal markets Policies designed to stop illegal market flows often shift these activities to new places or create new problems as the U S led war on drugs spread production and trafficking to a number South and Central American countries The Oxford Handbook of Organized Crime provides informed authoritative and comprehensive overviews of these issues and other principal forms of organized crime as well as the type and effectiveness of efforts to prevent and control them Leading scholars from criminology law sociology history and political science discuss the key concepts history and methods of organized crime the major actors and interactions involved in it the markets and activities frequently associated with organized crime and the policies designed to combat it Individual chapters on criminal organizations and specific activities or markets comprise the heart of the volume The chapters on actors provide the history analyze the structure and activities and assess the strength and future prospects of each organization Articles on particular markets address the patterns of activity identify the most affected regions and where possible provide estimated revenues discuss factors promoting the activity and disclose information on the victims and harms caused The Oxford Handbook of Organized Crime delivers a systematic high quality and truly global approach to the topic and with it a more complete understanding of organized crime in its many forms for researchers government officials and policymakers

Guide to the Sources of History in Korea, 1993 *Index to*

TheStreet.com Ratings' Mutual Fund Guides, 2006

An Anatomy of Tax Havens Paul R. Beckett, 2023-10-24 Tax havens

in offshore lands like Switzerland the Cayman Islands and the Bahamas were once considered a rarity the preserve of the super rich Today they are big business available to the masses Their goal To avoid any form of accountability Own nothing Possess everything Be answerable to no one Where are these tax havens What forms can they take What future lies in store for them and why should we care An Anatomy of Tax Havens Europe the Caribbean and the United States of America answers these questions and more in the first comparative study in one volume of European Caribbean and United States tax havens It examines their simple origin to the extreme forms some take today delving into the murky subculture that has deliberately made them impenetrably obscure Uniquely it combines detailed technical expertise regulatory regimes financial crime legal and equitable structuring with an analysis of their impact on domestic and global political economic environmental and social concerns An Anatomy of Tax Havens is a fascinating informative read for a broad readership from legal accountancy and tax practitioners to compliance regulators law enforcement agencies and students and researchers interested in business studies taxation and crime

Navigating Today's Environment: The Directors' and Officers'

Guide to Restructuring John William Butler,2010-08 A compendium of forty five articles on the restructuring process intended as a guide for corporate directors and officers **Financial Planning DIY Guide** Sam Henderson,2011-02-18

Financial Planning DIY Guide is the only Australian all in one handbook to getting the most from your money Written by expert financial planner Sam Henderson this comprehensive guide will help you build and manage your assets no matter what your stage of life and show you how to create your own one page financial plan and put it into practice Inside you ll learn how to reduce your tax boost your savings and maximize your income structure your investment portfolio including investing in shares and property and manage risk make the most of your superannuation plan your income for retirement protect your wealth through estate planning It s never too soon or too late to plan your financial future and this book will show you how **Real Estate Record and Builders' Guide** ,1894 **The Investment Assets Handbook** Yoram

Lustig,2014-12-02 Investors who build diversified multi asset portfolios have an ever increasing range of investment assets at their disposal In order to invest effectively and build a solid performing portfolio it is essential for investors to understand each of these single asset classes and how to use them in portfolios The Investment Assets Handbook covers the full spectrum of different asset classes and investment types available today providing investors with the definitive information they need to reach an understanding of the broad range of investment assets The Handbook is divided into four parts 1 An introduction to asset classes including how they should be defined the main features that can be used to characterise asset classes and the roles that different assets fulfil within a multi asset portfolio 2 Traditional assets including global equities fixed income and cash 3 Alternative assets including real estate commodities private equity and hedge funds 4 New alternative investments including currency infrastructure structured finance leveraged loans structured products alternative or smart betas volatility art insurance linked securities and timber Each asset chapter within these sections provides a description of the asset and its characteristics its historic performance how to model its future long term performance the role it performs in a multi asset portfolio its risks how to access it and other relevant topics Long term investment themes that may impact the future behaviour of assets and investing generally are also highlighted and discussed The Investment Assets Handbook is the essential guide that investors need as they navigate the universe of investment assets and build multi asset portfolios **Monthly Catalog of United States Government Publications** ,2001 *The Complete Family Office*

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- Chapter 4: Ubs Tax Guide in Specific Contexts
- Chapter 5: Conclusion

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