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### Step 1

Pass the SIE Exam



Be employed by a FINRA-member firm for exam sponsorship and complete a U4 application





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Sign up for an online course and study for the exam

## Step 4

Once your U4 is processed by your firm, register for the exam on FINRA's website and schedule a exam appointment at a Prometric testing center





## Step 5

On exam day, get to the testing center 30 minutes early, don't bring prohibited items, stay focused, and crush the exam!

## Step 6

Move on to your next testing victory (Series 63, 65, or 66)



## **Series 7 License Exam Manual 8th Edition**

**VM** Jensen

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