

Investments Analysis and Management 12th Edition Jones Test Bank

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File: ch05, Chapter 5: How Securities are Traded

Multiple Choice Questions

1. Merrill Lynch and UBS are examples of:

- a. discount brokers
- b. wholesale brokers
- c. full-service brokers
- d. blue-chip brokers

Ans: c

Difficulty: Easy

Ref: Brokerage Transactions

2. Which of the following statements is true regarding full-service brokers?

- a. They typically seek clients with at least \$10,000 in their accounts.
- b. They derive only a small percentage of their revenues from commissions.
- c. They compete primarily on price and services offered.
- d. Less than 10 percent of U.S. households now use a full-service broker.

Ans: b

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