

Series 7 practice exam multiple choice

When discussing a corporation's capitalization, each of the below would be included except:

- A: non-voting class B preferred stock
- B: subordinated debentures rated BB+ by Standard & Poor's
- C: earned surplus
- D: plant & equipment - Question and answersPlant and equipment

Capitalization includes Stockholders' Equity and Long-term Debt. Plant & Equipment are Fixed Assets, which are assets purchased with the Capital raised by the business but are not themselves considered Capital

Miami-Dade County currently has the following four GOs outstanding. All issues possess a call feature and have coupons and maturities as shown below. Assume all issues have similar principal amounts outstanding. In the event interest rates decline, and the County plans to do a refunding of only one of the four outstanding GOs, which issue would most likely be called?

- A :6.25s31 callable at 100
- B 5.50s33 callable at 100%
- C 4.65s34 callable at 101
- DZr29 callable at 100 - Question and answersA :6.25s31 callable at 100

If you were in charge of finances for the county and you were considering paying off only one of your debts, wouldn't it be the debt that's costing the county the most (the highest interest rate)? In this scenario, the county will retire/call the 6.25% callable bonds because those are costing the county the most every year. Also notice that those bonds have an added advantage - they are callable at 100, which means at Par, meaning there is no call 'premium' required to retire them.

When viewing the latest quotes on T-bills, you note that the current quote on the new 3 month Bills, 0.50 – 0.55, is somewhat higher than the quote on new 3 month Bills at the previous auction.

- A T-bill prices have risen
- B The yield curve is inverting
- C The Fed is easing the money supply
- D T-bill discounts have increased - Question and answersD T-bill discounts have increased

T-bills are not quoted as a percentage of par, whereas notes and bonds are. Bill quotes represent the percentage of Discount from Par Value at which banks and dealers

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