
CISI Regulatory exam questions with correct answers

FSAP - Answer Financial services action plan - creates and implements directives on an EU level. Aims to harmonise financial services rules across EU. Includes EU directives (to be implemented into national law) and EU regulations (binding on member states)

FSAP directives - Answer Market Abuse Directive

Prospectus Directive

MiFID

Money Laundering Directive

What must EU members do with FSAP directives - Answer Directives must be implemented and transposed into national law. Regulation is immediately binding in member states.

What is an act and order - Answer Law

EU Regulatory bodies (ESAs) - Answer European level regulations that oversee national regulators

ESMA (European securities and markets agency) - financial markets

EBA (European banking agency) - banks

EIOPA - insurance and pensions

[ESRB works with ESAs to promote financial stability and avoid a financial market collapse at the European level and ESAs implement capital standards for banks]

Regulatory Exam Questions And Answers

Marianne Langille, Karen Katsademas



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Handbook of Emotion Regulation, First Edition James J. Gross,2011-12-07 This authoritative volume provides a comprehensive road map of the important and rapidly growing field of emotion regulation Each of the 30 chapters in this handbook reviews the current state of knowledge on the topic at hand describes salient research methods and identifies promising directions for future investigation The contributors who are the foremost experts in the field address vital questions about the neurobiological and cognitive bases of emotion regulation how we develop and use regulatory strategies across the lifespan individual differences in emotion regulation social psychological approaches and implications for psychopathology clinical interventions and health

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