

CHECKLIST | DOT NEW ENTRANT SAFETY AUDIT

Presented by SCS Agency Inc.

Date:

Review conducted by:

New entrants looking to conduct interstate operations within the United States need to pass a safety audit before being legally registered with the US Department of Transportation (DOT).

Use the following chart to make sure you have all the documentation necessary to pass your safety audit.

1. PART 397—INSURANCE	
<input type="checkbox"/>	MCIS-90 on file to show proof of insurance; MCIS-90B for passenger carriers
2. PART 390—GENERAL REQUIREMENTS	
<input type="checkbox"/>	Access to current copy of FMCSRs
<input type="checkbox"/>	DOT number displayed on vehicle
<input type="checkbox"/>	Accident register (for previous 12-month period) and accident reports for recordable accidents (retained for past three years) involving the following: <ol style="list-style-type: none">1. Fatalities2. Injuries treated away from the scene3. Towing due to disabling damage
3. PART 391—DRIVER QUALIFICATION FILE	
<input type="checkbox"/>	Driver application, completely and accurately filled out
<input type="checkbox"/>	Ten-year employment history for CDL drivers
<input type="checkbox"/>	Previous employer history (past three years)
<input type="checkbox"/>	Previous employer drug and alcohol check (past two years)
<input type="checkbox"/>	Current medical card/certificate
<input type="checkbox"/>	Copy of CDL or road test for non-CDL drivers
<input type="checkbox"/>	Annual driving record from state agency (three-year history)
<input type="checkbox"/>	Annual review of driving record
<input type="checkbox"/>	Annual certification of violations, completed by driver
4. PART 382—ALCOHOL AND CONTROLLED SUBSTANCES TESTING	
<input type="checkbox"/>	Pre-employment—must have negative results before driving (controlled substances only)

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Keith M. Walker. Applied Mechanics for Engineering Technology Keith M. Keith M. Walker. 543. Index. Page 6.

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